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FULBRIGHT & JAWORSKI L.L.P.

By

Denise Squires

LUD 5466.4 - JEL/NDH (987811)

GAC 1646

#9

Ross

7/19/99

CONT'D



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Knuth, et al.

Serial No. : 09/165,546

Filed : October 2, 1998

For : ISOLATED PEPTIDES CORRESPONDING TO  
AMINO ACID SEQUENCES OF NY-ESO-1...

Group Art Unit : 1646

Examiner : Not Yet Assigned

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**INFORMATION DISCLOSURE  
STATEMENT**

Applicants wish to make the accompanying references of record in this application.  
These correspond to references cited in the Related Applications provided at page 1, and the  
corresponding PCT applications.

## Wands, et al, U.S. Patent No. 5,212,085

Chen, et al, "A testicular antigen aberrantly expressed in human cancers detected by autologous antibody screening". Proc. Natl. Acad. Sci USA 94:1914-1918 (3/97)

Kiyokawa, et al, "Overexpression of ERK, an EPH Family Receptor Protein Tyrosine Kinase, In Various Human Tumors," Canc. Res 54:3645-3650 (7/15/94)

Fazioli, et al. "The ezrin-like family of tyrosine kinase substrates: receptor. specific pattern of tyrosine phosphorylation and relationship to malignant transformation, "Oncogene 8(5): 1335-1345 (5/1993).

Respectfully submitted,

FULBRIGHT & JAWORSKI L.L.P.

By

Norman D. Hanson  
Reg. No. 30,946

666 Fifth Avenue  
New York, New York 10103  
(212) 318-3000

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09/165,546

LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

APPLICANTS

Alexander Knuth, et al.

(Use several sheets if necessary)

FILING DATE

GROUP

October 2, 1998 | 1600

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
AA	5 2 1 2 0 8 5	5/18/93	Wands et al.	435	240.27	
AB	5 8 0 4 3 8 1	9/8/98	Chen et al.	435	6	10/3/96
AC						
AD						
AE						

## FOREIGN PATENT DOCUMENTS

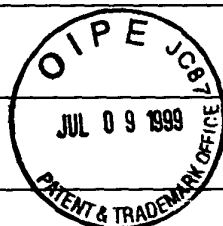
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
AF						
AG						
AH						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Chen et al., "A testicular antigen aberrantly expressed in human cancers detected by autologous antibody screening," Proc. Natl. Acad. Sci. USA, 94:1914-1918 (3/97)

Kiyokawa et al., "Overexpression of ERK, an EPH Family Receptor Protein Tyrosine Kinase, in Various Human Tumors," Canc. Res., 54:3645-3650 (7/15/94)

Fazioli et al., "The ezrin-like family of tyrosine kinase substrates: receptor specific pattern of tyrosine phosphorylation and relationship to malignant transformation," Oncogene, 8(5):1335-1345 (5/93)



EXAMINER

*an de Luna*

DATE CONSIDERED

3-17-03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.